

NSDL – TRANSMISSION FORM – NO NOMINEE

To,
HDFC BANK LIMITED
Depository Services, Empire Plaza I, 4th Floor,
LBS Marg, Chandan Nagar,
Vikhroli West, Mumbai – 400083

Branch Code : _____

Date : _____

I. I/We, the undersigned, being the

- | | |
|---|---|
| <input type="checkbox"/> Legal Heir (s) | <input type="checkbox"/> Executor(s) of the will |
| <input type="checkbox"/> Administrator(s) of the Estate | <input type="checkbox"/> Successor(s) to the Estate |

of Mr./Mrs./Ms. _____
Mr./Mrs./Ms. _____
and Mr./Mrs./Ms. _____,
the deceased of which Succession Certificate/ Probate of Will/ Will/ Letter of Administration/ Court Decree as may be applicable in terms of the Indian Succession Act, 1925 or Legal Heirship Certificate (or its equivalent Certificate) issued by competent Government Authority, was duly granted / issued to me / us on the _____ day of _____ of _____ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID _____ DP ID _____.

II. I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

| Sr No | Name | Client ID | DP ID |
|-------|------|-----------|-------|
| | | | |
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III. List of Documents to be submitted is provided on the reverse.

IV. Declaration:

(Applicable only for legal heir(s)/ claimant(s) where deceased was a sole holder and no nomination in the account - Value of holding exceeding / not exceeding Rs. 15,00,000 (Rs. Fifteen Lakhs) as on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) /claimant(s) of the deceased and there are no other legal heir(s)/claimant(s). Of these, as specified below, some/all of them are legal heir(s) / non-claimant(s) and some of them have given a No Objection Certificate relinquishing their rights on the securities held in the aforesaid Beneficial Owner account in favour of other legal heir(s)/claimant(s). Details are given below.

| Sr. No. | Name of the legal heir(s) | Specify whether a legal heir(s) / claimant(s) given a No Objection Certificate |
|---------|---------------------------|--|
| | | |
| | | |
| | | |
| | | |

V. SIGNATURES:

| Sr No | Name of claimant(s) | Signature of claimant(s) |
|-------|---------------------|--------------------------|
| | | |
| | | |
| | | |

List of Documents to be enclosed:

| Sr No | Documents required for transmission | Value of Securities Less than Rs.15 Lakhs | Value of Securities More than Rs.15 Lakhs |
|-------|---|---|---|
| 1 | Duly signed transmission request form by the legal heir(s)/claimant(s) | Required | Required |
| 2 | Original death certificate or Copy of death certificate attested by the legal heir(s) / claimant(s) subject to verification with the original or copy of death certificate duly attested by a notary public or by a gazetted officer or death certificate downloaded from the online portal of Government carrying digital/facsimile signature of the issuing authority | Required | Required |
| 3 | Client Master Report of the Target account duly signed and stamped/ electronic copy of Client Master Report digitally signed by the Participant, in case the account of the legal heir(s) / claimant(s) is not with the HDFC Bank Limited | Required | Required |
| 4 | Self-attested copy of PAN card of the legal heir(s) / claimant(s) | Required | Required |
| 5 | Notarized affidavit, as per format (Refer Annexure A) from All legal heir(s)/ claimants to be obtained separately made on non-judicial stamp paper of appropriate value, to the effect of identification and claim of legal ownership to the securities; However, in case the legal heir(s)/claimant(s) are named in any of the Succession Certificate or Probate of Will or Will or Letter of Administration as may be applicable in terms of Indian Succession Act, 1925 (39 of 1925), or Legal Heirship Certificate or its equivalent certificate issued by a competent Government Authority, an affidavit from such legal heir(s)/claimant(s) alone shall be sufficient. | Required | Required |

| | | | |
|----|---|---------------|----------------|
| 6 | Notarized indemnity bond made on non-judicial stamp paper of appropriate value, indemnifying the Bank and NSDL (Refer Annexure B) | Required | Not Applicable |
| 7 | No Objection certificate from all legal heirs(s) (Refer Annexure C), or copy of family settlement deed executed by all the legal heirs, duly attested by a notary public or by a gazetted officer | Required | Not Applicable |
| 8 | Copy of any of the following documents attested by the legal heir(s) / claimant(s) subject to verification with the original or duly attested by a notary public or by a Gazetted officer <ul style="list-style-type: none">• Succession Certificate (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or• Copy of Letter of Administration (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or• Copy of Court Decree (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or• Copy of Probate* of Will (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s) or• Copy of Will** (in terms of the Indian Succession Act, 1925), evidencing rights of the legal heir(s) / claimant(s), accompanied with a notarised Indemnity Bond made on non-judicial stamp paper of appropriate value from the legal heir(s) / claimant(s) to whom the securities are transmitted (Refer Annexure B) or ** <i>Will duly executed in terms of Indian Succession Act, 1925</i>• Copy of Legal Heirship Certificate or its equivalent certificate issued by a Competent Government Authority accompanied with a notarised Indemnity Bond made on non-judicial stamp paper of appropriate value duly notarised from the legal heir(s) / claimant(s) to whom the securities are transmitted (Refer Annexure B) and No objection from all the legal heir(s) / non-claimant(s) stating that they have relinquished their rights to the claim for transmission of securities made on non-judicial stamp paper of appropriate value duly attested by a notary public or by a gazetted officer (Refer Annexure C) | Optional | Required |
| 9 | In case the claimant/legal heir is a minor, Copy of Birth Certificate | As applicable | As applicable |
| 10 | In case of claimant being a minor / of unsound mind, KYC of the Claimant Guardian. | As applicable | As applicable |

Acknowledgement

We hereby acknowledge the receipt of your request for transmission in following demat account
(subject to verification)

HDFC Bank DP ID :

Client ID :

Date :

Seal & Signature of DP